Nonlocally Centralized Sparse Representation for Image Restoration

Weisheng Dong^a, Lei Zhang^{b,1}, *Member, IEEE*, Guangming Shi^a, *Senior Member, IEEE*, and Xin Li^c, *Senior Member, IEEE*

 ^aKey Laboratory of Intelligent Perception and Image Understanding (Chinese Ministry of Education), School of Electronic Engineering, Xidian University, China
 ^bDept. of Computing, The Hong Kong Polytechnic University, Hong Kong
 ^cLane Dept. of Comp. Sci. and Elec. Engr., West Virginia University, Morgantown, WV 26506

Abstract: The sparse representation models code an image patch as a linear combination of a few atoms chosen out from an over-complete dictionary, and they have shown promising results in various image restoration applications. However, due to the degradation of the observed image (e.g., noisy, blurred and/or downsampled), the sparse representations by conventional models may not be accurate enough for a faithful reconstruction of the original image. To improve the performance of sparse representation based image restoration, in this paper the concept of sparse coding noise is introduced, and the goal of image restoration turns to how to suppress the sparse coding noise. To this end, we exploit the image nonlocal self-similarity to obtain good estimates of the sparse coding coefficients of the original image, and then centralize the sparse coding coefficients of the observed image to those estimates. The so-called nonlocally centralized sparse representation (NCSR) model is as simple as the standard sparse representation model, while our extensive experiments on various types of image restoration problems, including denoising, deblurring and super-resolution, validate the generality and state-of-the-art performance of the proposed NCSR algorithm.

Keywords: Sparse representation, image restoration, nonlocal similarity

¹ Corresponding author: <u>cslzhang@comp.polyu.edu.hk</u>. This work is supported by the Hong Kong RGC General Research Fund (PolyU 5375/09E). This submission is an extension of our paper [22] published in ICCV 2011.

I. Introduction

Reconstructing a high quality image from one or several of its degraded (e.g., noisy, blurred and/or down-sampled) versions has many important applications, such as medical imaging, remote sensing, surveillance and entertainment, etc. For an observed image y, the problem of image restoration (IR) can be generally formulated by

$$y = Hx + \upsilon, \tag{1}$$

where H is a degradation matrix, x is the original image vector and v is the additive noise vector. With different settings of matrix H, Eq. (1) can represent different IR problems; for example, image denoising when H is an identity matrix, image deblurring when H is a blurring operator, image superresolution when H is a composite operator of blurring and down-sampling, and compressive sensing when H is a random projection matrix [1-3]. In the past decades, extensive studies have been conducted on developing various IR approaches [4-23]. Due to the ill-posed nature of IR, the regularization-based techniques have been widely used by regularizing the solution spaces [5-9, 12-22]. In order for an effective regularizer, it is of great importance to find and model the appropriate prior knowledge of natural images, and various image prior models have been developed [5-8, 14, 17-18, 22].

The classic regularization models, such as the quadratic Tikhonov regularization [8] and the TV regularization [5-7] are effective in removing the noise artifacts but tend to over-smooth the images due to the piecewise constant assumption. As an alternative, in recent years the sparsity-based regularization [9-23] has led to promising results for various image restoration problems [1-3, 16-23]. Mathematically, the sparse representation model assumes that a signal $\mathbf{x} \in \mathbb{R}^N$ can be represented as $\mathbf{x} \approx \boldsymbol{\Phi} \boldsymbol{\alpha}$, where $\boldsymbol{\Phi} \in \mathbb{R}^{N \times M}$ (*N*<*M*) is an over-complete dictionary, and most entries of the coding vector $\boldsymbol{\alpha}$ are zero or close to zero. The sparse decomposition of \mathbf{x} can be obtained by solving an l_0 -minimization problem, formulated as $\boldsymbol{\alpha}_x = \arg\min_{\boldsymbol{\alpha}} ||\boldsymbol{\alpha}||_0$, *s.t.* $||\mathbf{x} - \boldsymbol{\Phi} \boldsymbol{\alpha}||_2 \leq \varepsilon$, where $||\bullet||_0$ is a pseudo norm that counts the number of non-zero entries in $\boldsymbol{\alpha}$, and ε is a small constant controlling the approximation error. Since l_0 -minimization is an NP-hard combinatorial optimization problem, it is often relaxed to the convex l_1 -minimization. The l_1 -norm based sparse coding problem can be generally formulated in the following Lagrangian form:

$$\boldsymbol{\alpha}_{x} = \arg\min_{\boldsymbol{\alpha}} \{ \| \boldsymbol{x} - \boldsymbol{\Phi} \boldsymbol{\alpha} \|_{2}^{2} + \lambda \| \boldsymbol{\alpha} \|_{1} \},$$
(2)

where constant λ denotes the regularization parameter. With an appropriate selection of the regularization parameter λ , we can get a good balance between the sparse approximation error of x and the sparsity of α , and the term "sparse coding" refer to this sparse approximation process of x. Many efficient l_1 -minimization techniques have been proposed to solve Eq. (2), such as iterative thresholding algorithms [9-11] and Bregman split algorithms [25-26]. In addition, compared with the analytically designed dictionaries (e.g., wavelet/curvelet dictionary), the dictionaries learned from example image patches can improve much the sparse representation performance since they can better characterize the image structures [27-28].



Figure 1: Examples of the sparse coding coefficients by using the KSVD [27] approach. The first row shows some natural images; the second row shows the corresponding distributions of the sparse coding coefficients (associated with the 3rd atom of the dictionary in KSVD) of the patches extracted at each pixel. Note that the coefficients are not randomly distributed but highly correlated.

In the scenario of IR, what we observed is the degraded image signal y via y = Hx + v. To recover x from y, first y is sparsely coded with respect to $\boldsymbol{\Phi}$ by solving the following minimization problem:

$$\boldsymbol{\alpha}_{\mathbf{y}} = \arg\min_{\boldsymbol{\alpha}} \{ \| \boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi}\boldsymbol{\alpha} \|_{2}^{2} + \lambda \| \boldsymbol{\alpha} \|_{1} \}, \qquad (3)$$

and then x is reconstructed by $\hat{x} = \boldsymbol{\Phi}\boldsymbol{\alpha}_{y}$. Clearly, it is expected that $\boldsymbol{\alpha}_{y}$ could be close enough to $\boldsymbol{\alpha}_{x}$. Due to the degradation of the observed image (e.g., the image is blurry and noisy), however, it is very challenging to recover the true sparse code $\boldsymbol{\alpha}_{x}$ from y. Using only the local sparsity constraint $||\boldsymbol{\alpha}||_{1}$ in Eq. (3) may not lead to an accurate enough image reconstruction. On the other hand, it is known that image sparse coding coefficients $\boldsymbol{\alpha}$ are not randomly distributed due to the local and nonlocal correlations existing in natural images. In Fig. 1, we visualize the sparse coding coefficients of several example images. One can see that the sparse coding coefficients are correlated, while the strong correlations allow us to develop a much more accurate sparse model by exploiting the local and nonlocal redundancies. Indeed, some recent works, such as [17] and [18], are based on such considerations. For example, in [18] a group sparse coding scheme was proposed to code similar patches simultaneously, and it achieves impressive denoising results.

In this paper we improve the sparse representation performance by proposing a *nonlocally centralized* sparse representation (NCSR) model. To faithfully reconstruct the original image, the sparse code α_y (refer to Eq. (3)) should be as close as possible to the sparse codes α_x (refer to Eq. (2)) of the original image. In other words, the difference $v_{\alpha} = \alpha_y - \alpha_x$ (called as sparse coding noise, SCN in short, in this work) should be reduced and hence the quality of reconstructed image $\hat{x} = \Phi \alpha_y$ can be improved because $\hat{x} - x \approx \Phi \alpha_y - \Phi \alpha_x = \Phi v_{\alpha}$. To reduce the SCN, we centralize the sparse codes to some good estimation of α_x . In practice, a good estimation of α_x can be obtained by exploiting the rich amount of nonlocal redundancies in the observed image.

The proposed NCSR model can be solved effectively by conventional iterative shrinkage algorithm [9], which allows us to adaptively adjust the regularization parameters from a Bayesian viewpoint. The extensive experiments conducted on typical IR problems, including image denoising, deblurring and super-resolution, demonstrate that the proposed NCSR based IR method can achieve highly competitive performance to state-of-the-art denoising methods (e.g., BM3D [17, 40-42], LSSC [18]), and outperforms state-of-the-art image deblurring and super-resolution methods.

The rest of the paper is organized as follows. Section II presents the modeling of NCSR. Section III provides the iterative shrinkage algorithm for solving the NCSR model. Section IV presents extensive experimental results and Section V concludes the paper.

II. Nonlocally centralized sparse representation (NCSR)

Following the notation used in [19], for an image $\mathbf{x} \in \mathbb{R}^N$, let $\mathbf{x}_i = \mathbf{R}_i \mathbf{x}$ denote an image patch of size $\sqrt{n} \times \sqrt{n}$ extracted at location *i*, where \mathbf{R}_i is the matrix extracting patch \mathbf{x}_i from \mathbf{x} at location *i*. Given an dictionary $\boldsymbol{\Phi} \in \mathbb{R}^{n \times M}$, $n \leq M$, each patch can be sparsely represented as $\mathbf{x}_i \approx \boldsymbol{\Phi} \boldsymbol{\alpha}_{x,i}$ by solving an

 l_1 -minimization problem $\boldsymbol{\alpha}_{x,i} = \arg \min_{\boldsymbol{\alpha}_i} \{ \| \boldsymbol{x}_i - \boldsymbol{\Phi} \boldsymbol{\alpha}_i \|_2^2 + \lambda \| \boldsymbol{\alpha}_i \|_1 \}$. Then the entire image \boldsymbol{x} can be represented by the set of sparse codes $\{ \boldsymbol{\alpha}_{x,i} \}$. The patches can be overlapped to suppress the boundary artifacts, and we obtain a redundant patch-based representation. Reconstructing \boldsymbol{x} from $\{ \boldsymbol{\alpha}_{x,i} \}$ is an over-determined system, and a straightforward least-square solution is [19]: $\boldsymbol{x} \approx (\sum_{i=1}^N \boldsymbol{R}_i^T \boldsymbol{R}_i)^{-1} \sum_{i=1}^N (\boldsymbol{R}_i^T \boldsymbol{\Phi} \boldsymbol{\alpha}_{x,i})$. For the convenience of expression, we let

$$\boldsymbol{x} \approx \boldsymbol{\Phi} \circ \boldsymbol{\alpha}_{\boldsymbol{x}} = \left(\sum_{i=1}^{N} \boldsymbol{R}_{i}^{T} \boldsymbol{R}_{i}\right)^{-1} \sum_{i=1}^{N} \left(\boldsymbol{R}_{i}^{T} \boldsymbol{\Phi} \boldsymbol{\alpha}_{\boldsymbol{x},i}\right), \qquad (4)$$

where α_x denotes the concatenation of all $\alpha_{x,i}$. The above equation is nothing but telling that the overall image is reconstructed by averaging each reconstructed patch of x_i .

In the scenario of image restoration (IR), the observed image is modeled as y = Hx + v. The sparsity-based IR method recovers x from y by solving the following minimization problem:

$$\boldsymbol{\alpha}_{\boldsymbol{y}} = \arg\min_{\boldsymbol{\alpha}} \{ \| \boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha} \|_{2}^{2} + \lambda \| \boldsymbol{\alpha} \|_{1} \}.$$
(5)

The image x is then reconstructed as $\hat{x} = \boldsymbol{\Phi} \circ \boldsymbol{\alpha}_{v}$.

A. The sparse coding noise

In order for an effective IR, the sparse codes α_y obtained by solving the objective function in Eq. (5) are expected to be as close as possible to the true sparse codes α_x of the original image x. However, due to the degradation of the observed image y (e.g., noisy and blurred), the sparse code α_y will deviate from α_x , and the IR quality depends on the level of the sparse coding noise (SCN), which is defined as the difference between α_y and α_x :

$$\boldsymbol{\nu}_{\boldsymbol{\alpha}} = \boldsymbol{\alpha}_{\boldsymbol{y}} - \boldsymbol{\alpha}_{\boldsymbol{x}} \,. \tag{6}$$

To investigate the statistical property of SCN v_{α} , we perform some experiments on typical IR problems. We use the image *Lena* as an example. In the first experiment, we add Gaussian white noise to the original image x to get the noisy image y (the noise level $\sigma_n=15$). Then we compute α_x and α_y by solving Eq. (2) and Eq. (5), respectively. The DCT bases are adopted in the experiment. Then the SCN v_{α} is computed. In Fig. 2(a-1), we plot the distribution of v_{α} corresponding to the 4th atom in the dictionary. Similarly, in Fig. 2(a-2) and Fig. 2(a-3) we plot the distributions of v_{α} when the observed data y is blurred (by a Gaussian blur kernel with standard deviation 1.6) and down-sampled by factor 3 in both horizontal and vertical directions (after blurred by a Gaussian blur kernel with standard deviation 1.6), respectively. We can see that the empirical distributions of SCN v_{α} can be well characterized by Laplacian distributions, while the Gaussian distributions have much larger fitting errors. To better observe the fitting of the tails, we also plot the these distributions in log domain in Figs. 2(b-1)~(b-3). This observation motivates us to model v_{α} with a Laplacian prior, as will be further discussed in Section III-A.



Figure 2: The distributions of SCN when the Lena image is (a-1) noisy; (a-2) noisy and blurred; and (a-3) down-sampled. (b-1), (b-2) and (b-3) show the same distributions of (a-1), (a-2) and (a-3) in log domain, respectively.

B. Modeling of nonlocally centralized sparse representation (NCSR)

The definition of SCN v_{α} indicates that by suppressing the SCN v_{α} we could improve the IR output \hat{x} . However, the difficulty lies in that the sparse coding vector α_x is unknown so that v_{α} cannot be directly measured. Nonetheless, if we could have some reasonably good estimation of α_x , denoted by β , available, then $\alpha_y - \beta$ can be a good estimation of the SCN v_{α} . To suppress v_{α} and improve the accuracy of α_y and thus further improve the objective function of Eq. (5), we can propose the following centralized sparse representation (CSR) model [22]:

$$\boldsymbol{\alpha}_{y} = \arg\min_{\boldsymbol{\alpha}} \{ \|\boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha}\|_{2}^{2} + \lambda \sum_{i} \|\boldsymbol{\alpha}_{i}\|_{1} + \gamma \sum_{i} \|\boldsymbol{\alpha}_{i} - \boldsymbol{\beta}_{i}\|_{p} \},$$
(7)

where β_i is some good estimation of α_i , γ is the regularization parameter and p can be 1 or 2. In the above CSR model, while enforcing the sparsity of coding coefficients α_i , the sparse codes are also centralized to some estimate of α_x (i.e., β) so that SCN ν_{α} can be suppressed.

One important issue of sparsity-based IR is the selection of dictionary $\boldsymbol{\Phi}$. Conventional analytically designed dictionaries, such as DCT, wavelet and curvelet dictionaries, are insufficient to characterize the so many complex structures of natural images. The universal dictionaries learned from example image patches by using algorithms such as KSVD [27] can better adapt to local image structures. In general the learned dictionaries are required to be very redundant such that they can represent various image local structures. However, it has been shown that sparse coding with an overcomplete dictionary is unstable [43], especially in the scenario of image restoration. In our previous work [21], we cluster the training patches extracted from a set of example images into K clusters, and learn a PCA sub-dictionary for each cluster. Then for a given patch, one compact PCA sub-dictionary is adaptively selected to code it, leading to a more stable and sparser representation, and consequently better image restoration results. In this paper, we adopt this adaptive sparse domain selection strategy but learn the sub-dictionaries from the given image itself instead of the example images.

We extract image patches from image x and cluster the patches into K clusters (typically K=70) by using the K-means clustering method. Since the patches in a cluster are similar to each other, there is no need to learn an over-complete dictionary for each cluster. Therefore, for each cluster we learn a dictionary of PCA bases and use this compact PCA dictionary to code the patches in this cluster. (For the details of PCA dictionary learning, please refer to [21].) These K PCA sub-dictionaries construct a large over-complete dictionary to characterize all the possible local structures of natural images.

In the conventional sparse representation models as well as the model in Eq. (7), the local sparsity term $\|\boldsymbol{\alpha}_i\|_1$ is used to ensure that only a small number of atoms are selected from the over-complete dictionary $\boldsymbol{\Phi}$ to represent the input image patch. In our algorithm (please refer to **Algorithm 1** in Section III-C), for each patch to be coded, we adaptively select one sub-dictionary from the trained *K* PCA sub-dictionaries to code it. This actually enforces the coding coefficients of this patch over the other sub-dictionaries to be 0, leading to a very sparse representation of the given patch. In other words, our algorithm will naturally ensure the sparsity of the coding coefficients, and thus the local sparsity regularization term $\|\boldsymbol{\alpha}_i\|_1$ can be removed. Hence we propose the following sparse coding model:

$$\boldsymbol{\alpha}_{y} = \arg\min_{\boldsymbol{\alpha}} \{ \|\boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha}\|_{2}^{2} + \lambda \sum_{i} \|\boldsymbol{\alpha}_{i} - \boldsymbol{\beta}_{i}\|_{p} \}.$$
(8)

There is only one regularization term $\|\boldsymbol{\alpha}_i - \boldsymbol{\beta}_i\|_p$ in the above model. In the case that p=1, and the estimate $\boldsymbol{\beta}_i$ is obtained by using the nonlocal redundancy of natural images, this regularization term will become a nonlocally centralized sparsity term, and we call this model *nonlocally centralized sparse representation* (NCSR). Next let's discuss how to obtain a good estimation $\boldsymbol{\beta}_i$ of the unknown sparse coding vectors $\boldsymbol{\alpha}_i$.

C. The nonlocal estimate of unknown sparse code

Generally, there can be various ways to make an estimate of α_x , depending on how much the prior knowledge of α_x we have. If we have many training images that are similar to the original image x, we could learn the estimate β of α_x from the training set. However, in many practical situations the training images are simply not available. On the other hand, the strong nonlocal correlation between the sparse coding coefficients, as shown in Fig. 1, allows us to learn the estimate β from the input data. Based on the fact that natural images often contain repetitive structures, i.e., the rich amount of nonlocal redundancies [31], we search the nonlocal similar patches to the given patch *i* in a large window centered at pixel *i*. For higher performance, the search of similar patches can also be carried out across different scales at the expense of higher computational complexity, as shown in [32]. Then a good estimation of α_i , i.e., β_i , can be computed as the weighted average of those sparse codes associated with the nonlocal similar patches (including patch *i*) to patch *i*. For each patch x_i , we have a set of its similar patches, denoted by Ω_i . Finally β_i can be computed from the sparse codes of the patches within Ω_i .

Denote by $\boldsymbol{\alpha}_{i,q}$ the sparse codes of patch $\boldsymbol{x}_{i,q}$ within set $\boldsymbol{\Omega}_i$. Then $\boldsymbol{\beta}_i$ can be computed as the weighted average of $\boldsymbol{\alpha}_{i,q}$:

$$\boldsymbol{\beta}_{i} = \sum_{q \in \Omega_{i}} \omega_{i,q} \boldsymbol{\alpha}_{i,q} , \qquad (9)$$

where $\omega_{i,q}$ is the weight. Similar to the nonlocal means approach [31], we set the weights to be inversely proportional to the distance between patches x_i and $x_{i,q}$:

$$\omega_{i,q} = \frac{1}{W} \exp(-\|\hat{\mathbf{x}}_i - \hat{\mathbf{x}}_{i,q}\|_2^2 / h), \qquad (10)$$

where $\hat{x}_i = \boldsymbol{\Phi}\hat{\alpha}_i$ and $\hat{x}_{i,q} = \boldsymbol{\Phi}\hat{\alpha}_{i,q}$ are the estimates of the patches x_i and $x_{i,q}$, h is a pre-determined scalar and W is the normalization factor. In the case of orthogonal dictionaries (e.g., the local PCA dictionaries used in this work), the sparse codes $\hat{\alpha}_i$ and $\hat{\alpha}_{i,q}$ can be easily computed as $\hat{\alpha}_i = \boldsymbol{\Phi}^T \hat{x}_i$ and $\hat{\alpha}_{i,q} = \boldsymbol{\Phi}^T \hat{x}_{i,q}$. Our experimental results show that by exploiting the nonlocal redundancies of natural images, we are able to achieve good estimation of the unknown sparse vectors $\boldsymbol{\alpha}_i$, and the NCSR model of Eq. (8) can significantly improve the performance of the sparsity-based IR results.

Eq. (8) can be solved iteratively. We first initialize β_i as **0**, i.e., $\beta_i^{(-1)} = \mathbf{0}$, and solve for the sparse coding vector, denoted by $\boldsymbol{\alpha}_y^{(0)}$, using some standard sparse coding algorithm. Then we can get the initial estimation of \boldsymbol{x} , denoted by $\boldsymbol{x}^{(0)}$, via $\boldsymbol{x}^{(0)} = \boldsymbol{\Phi} \circ \boldsymbol{\alpha}_y^{(0)}$. Based on $\boldsymbol{x}^{(0)}$, we search for the similar patches to each patch i, and hence the nonlocal estimate of $\boldsymbol{\beta}_i$ can be updated using Eqs. (9) and (10). The updated estimation of $\boldsymbol{\alpha}_x$, denoted by $\boldsymbol{\beta}_i^{(0)}$, will then be used to improve the accuracy of the sparse codes and thus improve the IR quality. Such a procedure is iterated until convergence. In the l^{th} iteration, the sparse vector is obtained by solving the following minimization problem

$$\boldsymbol{\alpha}_{y}^{(l)} = \arg\min_{\boldsymbol{\alpha}} \{ \| \boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha} \|_{2}^{2} + \lambda \sum_{i} \| \boldsymbol{\alpha}_{i} - \boldsymbol{\beta}_{i}^{(l)} \|_{p} \}.$$
(11)

The restored image is then updated as $\hat{\boldsymbol{x}}^{(l)} = \boldsymbol{\Phi} \circ \boldsymbol{\alpha}_{y}^{(l)}$. In the above iterative process, the accuracy of sparse coding coefficient $\boldsymbol{\alpha}_{y}^{(l)}$ is gradually improved, which in turn improves the accuracy of $\boldsymbol{\beta}_{i}$. The improved $\boldsymbol{\beta}_{i}$

are then used to improve the accuracy of α_y , and so on. Finally, the desired sparse code vector α_y is obtained when the alternative optimization process falls into a local minimum. The detailed algorithm will be presented in Section III.

III. Algorithm of NCSR

A. Parameters determination

In Eq. (8) or Eq. (11) the parameter λ that balances the fidelity term and the centralized sparsity term should be adaptively determined for better IR performance. In this subsection we provide a Bayesian interpretation of the NCSR model, which also provides us an explicit way to set the regularization parameter λ . In the literature of wavelet denoising, the connection between *Maximum a Posterior* (MAP) estimator and sparse representation has been established, and here we extend the connection from the local sparsity to nonlocally centralized sparsity.

For the convenience of expression, let's define $\theta = \alpha - \beta$. For a given β , the MAP estimation of θ can be formulated as

$$\theta_{y} = \arg \max_{\theta} \log P(\theta \mid y)$$

= $\arg \max_{\theta} \{\log P(y \mid \theta) + \log P(\theta)\}.$ (12)

The likelihood term is characterized by the Gaussian distribution:

$$P(\boldsymbol{y} | \boldsymbol{\theta}) = P(\boldsymbol{y} | \boldsymbol{\alpha}, \boldsymbol{\beta}) = \frac{1}{\sqrt{2\pi}\sigma_n} \exp(-\frac{1}{2\sigma_n^2} || \boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha} ||_2^2), \qquad (13)$$

where θ and β are assumed to be independent. In the prior probability $P(\theta)$, θ reflects the variation of α from its estimation β . If we take β as a very good estimation of the sparse coding coefficient of unknown true signal, then $\theta_y = \alpha_x - \beta$ is basically the SCN associated with α_y , and we have seen in Fig. 2 that the SCN signal can be well characterized by the Laplacian distribution. Thus, we can assume that θ follows i.i.d. Laplacian distribution, and the joint prior distribution $P(\theta)$ can be modeled as

$$P(\boldsymbol{\theta}) = \prod_{i} \prod_{j} \left\{ \frac{1}{\sqrt{2}\sigma_{i,j}} \exp\left(-\frac{|\boldsymbol{\theta}_{i}(j)|}{\sigma_{i,j}}\right) \right\},\tag{14}$$

where $\theta_i(j)$ are the j^{th} elements of θ_i , and $\sigma_{i,j}$ is the standard deviations of $\theta_i(j)$.

Substituting Eq. (13) and (14) into Eq. (12), we obtain

$$\boldsymbol{\theta}_{\boldsymbol{y}} = \arg\min_{\boldsymbol{\theta}} \{ \| \boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha} \|_{2}^{2} + 2\sqrt{2}\sigma_{n}^{2} \sum_{i} \sum_{j} \frac{1}{\sigma_{i,j}} | \boldsymbol{\theta}_{i}(j) | \}.$$
(15)

Hence, for a given β the sparse codes α can then be obtained by minimizing the following objective function

$$\boldsymbol{\alpha}_{\boldsymbol{y}} = \arg\min_{\boldsymbol{\alpha}} \{ \|\boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha}\|_{2}^{2} + 2\sqrt{2}\sigma_{n}^{2}\sum_{i}\sum_{j}\frac{1}{\sigma_{i,j}} |\boldsymbol{\alpha}_{i}(j) - \boldsymbol{\beta}_{i}(j)| \}.$$
(16)

Compared with Eq. (8), we can see that the l_1 -norm (i.e., p=1) should be chosen to characterize the SCN term $\alpha_i - \beta_i$. Comparing Eq. (16) with Eq. (8), we have

$$\lambda_{i,j} = \frac{2\sqrt{2}\sigma_n^2}{\sigma_{i,j}}.$$
(17)

In order to have robust estimations of $\sigma_{i,j}$, the image nonlocal redundancies can be exploited. In practice, we estimate $\sigma_{i,j}$ using the set of θ_i computed from the nonlocal similar patches. $\lambda_{i,j}$ is then updated with the updated θ in each iteration or in several iterations to save computational cost. Next we present the detailed algorithm of the proposed NCSR scheme.

B. Iterative shrinkage algorithm

As discussed in Section II, we use an iterative algorithm to solve the NCSR objective function in Eq. (8) or (16). In each iteration, for fixed β_i we solve the following l_1 -norm minimization problem

$$\boldsymbol{\alpha}_{\boldsymbol{y}} = \arg\min_{\boldsymbol{\alpha}} \{ \|\boldsymbol{y} - \boldsymbol{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha}\|_{2}^{2} + \sum_{i} \sum_{j} \lambda_{i,j} |\boldsymbol{\alpha}_{i}(j) - \boldsymbol{\beta}_{i}(j)| \},$$
(18)

which is convex and can be solved efficiently. In this paper we adopt the surrogate algorithm in [9] to solve Eq. (18). In the (*l*+1)-*th* iteration, the proposed shrinkage operator for the j^{th} element of α_i is

$$\boldsymbol{\alpha}_{i}^{(l+1)}(j) = S_{\tau}(v_{i,j}^{(l)} - \boldsymbol{\beta}_{i}(j)) + \boldsymbol{\beta}_{i}(j), \qquad (19)$$

where $S_{\tau}(\cdot)$ is the classic soft-thresholding operator and $\mathbf{v}^{(l)} = \mathbf{K}^T (\mathbf{y} - \mathbf{K} \circ \mathbf{\alpha}^{(l)}) / c + \mathbf{\alpha}^{(l)}$, where $\mathbf{K} = \mathbf{H}\mathbf{\Phi}$, $\mathbf{K}^T = \mathbf{\Phi}^T \circ \mathbf{H}^T$, $\tau = \lambda_{i,j} / c$, and *c* is an auxiliary parameter guaranteeing the convexity of the surrogate function. The derivation of the above shrinkage operator follows the standard surrogate algorithm in [9]. The interesting readers may refer to [9] for details.

C. Summary of the algorithm

As we mentioned in Section II-B, in our NCSR algorithm the adaptive sparse domain selection strategy [21] is used to code each patch. We cluster the patches of image x into K clusters and learn a PCA sub-dictionary Φ_k for each cluster. For a given patch, we first check which cluster it falls into by calculating its distances to means of the clusters, and then select the PCA sub-dictionary of this cluster to code it. The proposed NCSR based IR algorithm is summarized in Algorithm 1.

In Algorithm 1, for fixed parameters $\lambda_{i,i}$ and $\{\beta_i\}$ the objective function in Eq. (18) is convex and can be efficiently solved by the iterative shrinkage algorithm in the inner loop, and its convergence has been well established in [9]. Since we update the regularization parameter $\lambda_{i,i}$ and $\{\beta_i\}$ in every J_0 iterations after solving a sub-optimization problem, Algorithm 1 is empirically convergent in general, as those presented in [39].

Algorithm 1

1. Initialization:

- (a) Set the initial estimate as $\hat{x} = y$ for image denoising and deblurring, or initialize \hat{x} by bicubic interpolator for image super-resolution;
- (b) Set initial regularization parameter λ and δ ;
- 2. Outer loop (dictionary learning and clustering): iterate on l = 1, 2, ..., L
 - (a) Update the dictionaries $\{ \boldsymbol{\Phi}_k \}$ via k-means and PCA;
 - (b) Inner loop (clustering): iterate on j=1,2,...,J
 - (I) $\hat{\mathbf{x}}^{(j+1/2)} = \hat{\mathbf{x}}^{(j)} + \delta \mathbf{H}^T (\mathbf{y} \mathbf{H} \hat{\mathbf{x}}^{(j)})$, where δ is the pre-determined constant;
 - (II) Compute $\boldsymbol{v}^{(j)} = [\boldsymbol{\Phi}_{k_1}^T \boldsymbol{R}_1 \hat{\boldsymbol{x}}^{(j+1/2)}, \cdots, \boldsymbol{\Phi}_{k_N}^T \boldsymbol{R}_N \hat{\boldsymbol{x}}^{(j+1/2)}]$, where $\boldsymbol{\Phi}_{k_i}$ is the dictionary assigned to patch $\hat{x}_i = R_i \hat{x}^{(j+1/2)};$
 - (III) Compute $\boldsymbol{\alpha}_{i}^{(j+1)}$ using the shrinkage operator given in Eq. (19);
 - (IV) If $mod(j, J_0)=0$ update the parameters $\lambda_{i,j}$ and $\{\beta_i\}$ using Eqs. (17) and (9), respectively; (V) Image estimate update: $\hat{\boldsymbol{x}}^{(j+1)} = \boldsymbol{\Phi} \circ \boldsymbol{\alpha}_{\boldsymbol{\nu}}^{(j+1)}$ using Eq. (4).

IV. Experimental results

To verify the IR performance of the proposed NCSR algorithm we conduct extensive experiments on image denoising, deblurring and super-resolution. The basic parameter setting of NCSR is as follows: the patch size is 7×7 and K=70. The parameters L, J, and δ in Algorithm 1 are set accordingly for different IR applications. For image denoising, $\delta=0.02$, L=3, and J=3; for image deblurring and super-resolution, $\delta=2.4$, L=5, and

J=160. To evaluate the quality of the restored images, the PSNR and the recently proposed powerful perceptual quality metric FSIM [33] are calculated. Due to the limited page space, we only show part of the results in this paper, and all the experimental results can be downloaded on the website: <u>http://www.comp.polyu.edu.hk/~cslzhang/NCSR.htm</u>.

A. Image denoising

Table 1: The PSNR (dB) results by different denoising methods. In each cell, the results of the four denoising methods are reported in the following order: top left – SAPCA-BM3D [40]; top right – LSSC [18]; bottom left – EPLL [34]; bottom right – NCSR.

σ	5		10		15		20		50		100	
Lana	38.86	38.68	36.07	35.83	34.43	34.14	33.20	32.88	29.07	28.95	25.37	25.96
Lena	38.52	38.70	35.56	35.81	33.85	34.09	32.60	32.92	28.42	28.89	25.30	25.66
Monarch	38.69	38.53	34.74	34.48	32.46	32.15	30.92	30.58	26.28	25.59	22.31	21.82
	38.22	38.49	34.27	34.57	32.04	32.34	30.48	30.69	25.67	25.68	22.04	22.05
D 1	38.38	38.44	35.07	34.95	33.27	32.96	31.97	31.53	27.51	27.13	23.05	23.56
Barbara	37.56	38.36	33.59	34.98	31.33	33.02	29.75	31.72	24.83	27.10	22.10	23.30
Deat	37.50	37.34	34.10	33.99	32.29	32.17	31.02	30.87	26.89	26.76	23.71	23.94
Боаг	36.78	37.35	33.63	33.90	31.89	32.03	30.63	30.74	26.64	26.60	23.78	23.64
C. Man	38.54	38.24	34.52	34.14	32.31	31.96	30.86	30.54	26.59	26.36	22.91	23.14
C. Man	38.04	38.17	33.94	34.12	31.73	31.99	30.28	30.48	26.08	26.16	22.87	22.89
Couple	37.60	37.41	34.13	33.96	32.20	32.06	30.83	30.70	26.48	26.31	23.19	23.34
	37.32	37.44	33.78	33.94	31.83	31.95	30.47	30.56	26.22	26.21	23.34	23.22
F. Print	36.67	36.71	32.65	32.57	30.46	30.31	28.97	28.78	24.53	24.21	21.07	21.18
	36.41	36.81	32.13	32.70	29.83	30.46	28.29	28.99	23.58	24.53	19.80	21.29
Hill	37.31	37.16	33.84	33.68	32.06	31.89	30.85	30.71	27.13	26.99	24.10	24.30
	37.00	37.17	33.49	33.69	31.67	31.86	30.47	30.61	26.91	26.86	24.37	24.13
House	40.13	40.00	37.06	37.05	35.31	35.32	34.03	34.16	29.53	29.90	25.20	25.63
	39.04	39.91	35.81	36.80	34.21	35.11	33.08	33.97	28.91	29.63	25.44	25.65
Mon	37.99	37.84	34.18	34.03	32.12	31.98	30.73	30.61	26.84	26.72	23.86	24.00
Ivian	37.67	37.78	33.90	33.96	31.89	31.89	30.53	30.52	26.63	26.60	23.96	23.97
Donnorg	38.30	38.15	34.94	34.80	33.01	32.87	31.61	31.47	26.94	26.87	23.05	23.14
reppers	37.93	38.06	34.51	34.66	32.56	32.70	31.18	31.26	26.60	26.53	22.93	22.64
Strow	35.81	35.92	31.46	31.39	29.13	28.95	27.52	27.36	22.79	22.67	19.42	19.50
Straw	35.36	35.87	30.84	31.50	28.50	29.13	26.93	27.50	22.00	22.48	18.95	19.23
Augroge	37.98	37.87	34.40	34.24	32.42	32.23	31.04	30.85	26.71	26.54	23.10	23.29
Average	37.49	37.84	33.79	34.22	31.78	32.21	30.39	30.83	26.04	26.44	22.91	23.14



Figure 3: Denoising performance comparison on the *Monarch* image with moderate noise corruption. From left to right and top to bottom: original image, noisy image (σ =20), denoised images by SAPCA-BM3D [40] (PSNR=**30.91** dB; FSIM=**0.9404**), LSSC [18] (PSNR=30.58 dB; FSIM=0.9310), EPLL [34] (PSNR=30.48 dB; FSIM=0.9330), and NCSR (PSNR=30.69 dB, FSIM=0.9316).

We compare the proposed NCSR method with three recently developed state-of-the-art denoising methods, including the shape-adaptive PCA based BM3D (SAPCA-BM3D) [40, 41] (which outperforms the benchmark BM3D algorithm [17]), the learned simultaneously sparse coding (LSSC) method [18] and the expected patch log likelihood (EPLL) based denoising method [34]. A set of 12 natural images commonly used in the literature of image denoising are used for the comparison study. The PSNR results of the test methods are reported in Table 1 (the highest PSNR values among the four are highlighted). Due to the limited space, the FSIM results are not reported here but they are available in the website of this work. From Table 1, we can see that the proposed NCSR achieves highly competitive denoising performance. In term of average PSNR results, NCSR performs almost the same as LSSC, and is slightly lower than SAPCA-BM3D, which is the best among the competitors.

Let's then focus on the visual quality of the denoised images by the four competing methods. In Fig. 3 and Fig. 4 we show the denoising results on two typical images with moderate noise corruption and strong noise corruption, respectively. It can be seen that NCSR is very effective in reconstructing both the smooth

and the texture/edge regions. When the noise level is not very high, as shown in Fig. 3 (σ =20), all the four competing methods can achieve very good denoising outputs. When the noise level is high, as shown in Fig. 4 (σ =100), however, the SAPCA-BM3D and EPLL methods tend to generate many visual artifacts. LSSC and NCSR work much better in this case. In particular, the denoised image by the proposed NCSR has much less artifacts than other methods, and is visually more pleasant. More denoised images can be downloaded in the website associated with this paper.



Figure 4: Denoising performance comparison on the *House* image with strong noise corruption. From left to right and top to bottom: original image, noisy image (σ =100), denoised images by SAPCA-BM3D [40] (PSNR=25.20 dB; FSIM=0.8065), LSSC [18] (PSNR=25.63 dB; FSIM=0.8017), EPLL [34] (PSNR=25.44 dB; FSIM= 0.8100), and NCSR (PSNR=**25.65** dB; FSIM=**0.8068**).

B. Image deblurring

In this sub-section, we conduct experiments to verify the performance of the proposed NCSR method for image deblurring in comparison with some competitive image deblurring methods. The deblurring methods are applied to both the simulated blurred images and real motion blurred images. For the simulated blurred images, the blurred images are generated by first applying a blur kernel and then adding additive Gaussian noise. Two sets of non-blind image deblurring experiments are conducted. Fist, two commonly used blur

kernels, i.e., 9×9 uniform blur and 2D Gaussian function (non-truncated) with standard deviation 1.6, are used for simulations. Additive Gaussian noise with noise levels $\sigma_n = \sqrt{2}$ is added to the blurred images. Second, 6 typical non-blind deblurring image experiments presented in [37, 42] are conducted to further test the deblurring performance of the proposed NCSR method under different image blurry conditions. For the real motion blurred images, we borrowed the motion blur kernel estimation method from [35] to estimate the blur kernel and then fed the estimated blur kernel into the NCSR deblurring method. For color images, we only apply the deblurring operation to the luminance component.

	9×9 uniform blur, $\sigma_n = \sqrt{2}$												
Images	Butterfly	Boats	C. Man	House	Parrot	Lena	Barbara	Starfish	Peppers	Leaves	Average		
FISTA [36]	28.37	29.04	26.82	31.99	29.11	28.33	25.75	27.75	28.43	26.49	28.21		
	0.9119	0.8858	0.8627	0.9017	0.9002	0.8798	0.8375	0.8775	0.8813	0.8958	0.8834		
l_0 -SPAR	27.10	29.86	26.97	32.98	29.34	28.72	26.42	28.11	28.66	26.30	28.44		
[37]	0.8879	0.9094	0.8689	0.9225	0.9262	0.9063	0.8691	0.8951	0.9066	0.8776	0.8970		
IDD-BM3D	29.21	31.20	28.56	34.44	31.06	29.70	27.98	29.48	29.62	29.38	30.06		
[42]	0.9287	0.9304	0.9007	0.9369	0.9364	0.9197	0.9014	0.9167	0.9200	0.9295	0.9220		
ASDS-Reg	28.70	30.80	28.08	34.03	31.22	29.92	27.86	29.72	29.48	28.59	29.84		
[21]	0.9053	0.9236	0.8950	0.9337	0.9306	0.9256	0.9088	0.9208	0.9203	0.9075	0.9171		
NCSR	29.68	31.08	28.62	34.31	31.95	29.96	28.10	30.28	29.66	29.98	30.36		
	0.9271	0.9294	0.9026	0.9415	0.9411	0.9254	0.9117	0.9293	0.9220	0.9341	0.9263		
	Gaussian blur, $\sigma_n = \sqrt{2}$												
	30.36	29.36	26.81	31.50	31.23	29.47	25.03	29.65	29.42	29.36	29.22		
FISTA [36]	0.9452	0.9024	0.8845	0.8968	0.9290	0.9011	0.8415	0.9256	0.9057	0.9393	0.9071		
IDD-BM3D	30.73	31.68	28.17	34.08	32.89	31.45	27.19	31.66	29.99	31.40	30.92		
[42]	0.9442	0.9426	0.9136	0.9359	0.9561	0.9430	0.8986	0.9496	0.9373	0.9512	0.9372		
ASDS-Reg	29.83	30.27	27.29	31.87	32.93	30.36	27.05	31.91	28.95	30.62	30.11		
[21]	0.9126	0.9064	0.8637	0.8978	0.9576	0.9058	0.8881	0.9491	0.9039	0.9304	0.9115		
NCSP	30.84	31.49	28.34	33.63	33.39	31.26	27.91	32.27	30.16	31.57	31.09		
INCSK	0.9381	0.9371	0.9078	0.9333	0.9587	0.9389	0.9088	0.9551	0.9331	0.9508	0.9362		

Table 2: The PSNR (dB) and FSIM results by different deblurring methods.

We compared the NCSR deblurring method with four state-of-the-art deblurring methods, including the constrained TV deblurring (denoted by FISTA) method [36], the l_0 -sparsity based deblurring (denoted by l_0 -SPAR) method [37], the IDD-BM3D deblurring method [42], and the adaptive sparse domain selection method (denoted by ASDS-Reg) [21]. Note that FISTA is a TV-based deblurring approach that can well reconstruct the piecewise smooth regions but often fail to recover fine image details. The l_0 -SPAR is a sparsity-based deblurring method where a fixed sparse domain is used. (Since l_0 -SPAR does not work well for Gaussian blur kernel, we do not present its deblurring results for Gaussian blur kernel in Table 2). The recently proposed IDD-BM3D method is an improved version of BM3D deblurring method [20], and

ASDS-Reg is a very competitive sparsity-based deblurring method with adaptive sparse domain selection.

The PSNR and FSIM results on a set of 10 photographic images are reported in Table 2. From Table 2, we can conclude that the proposed NCSR deblurring method outperforms much the other competing methods. In average NCSR outperforms IDD-BM3D by 0.3 dB and 0.17 dB for the uniform blur and Gaussian blur, respectively. The NCSR also outperforms ASDS-Reg in average by 0.52 dB and 0.98 dB for the two different kernels, respectively. The visual comparisons of the deblurring methods are shown in Figs. 5~6, from which we can see that the NCSR method produces much cleaner and sharper image edges and textures than other methods.

	Scenario							Scenario						
	1	2	3	4	5	6	1	2	3	4	5	6		
Method	Cameraman (256×256)							House (256×256)						
BSNR	31.87	25.85	40.00	18.53	29.19	17.76	29.16	23.14	40.00	15.99	26.61	15.15		
Input PSNR	22.23	22.16	20.76	24.62	23.36	29.82	25.61	25.46	24.11	28.06	27.81	29.98		
TVMM [7]	7.41	5.17	8.54	2.57	3.36	1.30	7.98	6.57	10.39	4.12	4.54	2.44		
L0-Spar [37]	7.70	5.55	9.10	2.93	3.49	1.77	8.40	7.12	11.06	4.55	4.80	2.15		
IDD-BM3D[42]	8.85	7.12	10.45	3.98	4.31	4.89	9.95	8.55	12.89	5.79	5.74	7.13		
NCSR	8.78	6.69	10.33	3.78	4.60	4.50	9.96	8.48	13.12	5.81	5.67	6.94		
		Lena (512×512)						Barbara (512×512)						
BSNR	29.89	23.87	40.00	16.47	27.18	15.52	30.81	24.79	40.00	17.35	28.07	16.59		
Input PSNR	27.25	27.04	25.84	28.81	29.16	30.03	23.34	23.25	22.49	24.22	23.77	29.78		
TVMM [7]	6.36	4.98	7.47	3.52	3.61	2.79	3.10	1.33	3.49	0.41	0.75	0.59		
L0-Spar [37]	6.66	5.71	7.79	4.09	4.22	1.93	3.51	1.53	3.98	0.73	0.81	1.17		
IDD-BM3D[42]	7.97	6.61	8.91	4.97	4.85	6.34	7.64	3.96	6.05	1.88	1.16	5.45		
NCSR	8.03	6.54	9.25	4.93	4.86	6.19	7.76	3.64	5.92	2.06	1.43	5.50		

Table 3 Comparison of the PSNR (dB) results of the deblurring methods.

The PSNR results for the 6 typical deblurring experiments presented in [37, 42] are reported in Table 3. For fair comparison, the PSNR results of other competing methods are direct obtained from [42]. We optimize the parameters of the proposed deblurring method for each experiment. From Table 3, we can see that both the IDD-BM3D method of [42] and the proposed NCSR method can achieve significant PSNR improvement over other competing methods. Parts of the deblurred *Barbara* image (scenario 4) by the competing methods are shown in Fig. 7. We can see that IDD-BM3D and the proposed NCSR method can better recover the fine textures than other competing methods. Moreover, the textures recovered by the proposed NCSR method are better than those by the IDD-BM3D method.

We also test the proposed NCSR deblurring method on real motion blurred images. Since the blur kernel estimation is a non-trivial task, we borrowed the kernel estimation method from [35] to estimate the blur kernel and apply the estimated blur kernel in NCSR to restore the original images. In Fig. 8 we present the deblurring results of two real blurred images by the blind deblurring method of [35] and the proposed NCSR method. We can see that the images restored by our approach are much clearer and much more details are recovered. Considering that the estimated kernel will have bias from the true unknown blurring kernel, these experiments validate that NCSR is robust to the kernel estimation errors. More motion deblurring results can be found in the website of this paper.



Figure 5: Deblurring performance comparison on the *Cameraman* image. From left to right and top to bottom: noisy and blurred image (9×9 uniform blur, $\sigma_n = \sqrt{2}$), the deblurred images by FISTA [36] (PSNR=26.82dB; FSIM=0.8627), l_0 -SPAR [37] (PSNR=28.56dB; FSIM= 0.9007), IDD-BM3D [42] (PSNR=28.56dB; FSIM=0.9007), ASDS-Reg [21] (PSNR=28.08dB; FSIM=0.8950), and the proposed NCSR (PSNR=**28.62**dB; FSIM= **0.9026**).



Figure 6: Deblurring performance comparison on the *Starfish* image. From left to right and top to bottom: noisy and blurred image (9×9 uniform blur, $\sigma_n = \sqrt{2}$), the deblurred images by FISTA [36] (PSNR=27.75dB; FSIM=0.8775), l_0 -SPAR [37] (PSNR=28.11dB; FSIM=0.8951), IDD-BM3D [42] (PSNR=29.48dB; FSIM=0.9167), ASDS-Reg [21] (PSNR=29.72 dB; FSIM=0.9208), and the proposed NCSR (PSNR=**30.28**dB; FSIM=**0.9293**).



Figure 7: Deblurring performance comparison on the *Barbara* (512×512) image. From left to right and top to bottom: original image, noisy and blurred image (scenario 4: PSF= $[1 4 6 4 1]^{T}[1 4 6 4 1]/256$, σ_{n} =7), the deblurred images by TVMM [7] (PSNR=24.63dB), l_{0} -SPAR [37] (PSNR=24.95dB), IDD-BM3D [42] (PSNR=26.10dB), and the proposed NCSR (PSNR=**26.28**dB).



Figure 8: Deblurring performance comparison on real motion blurred images with the blur kernel estimated using the kernel estimation approach from [35]. From left to right: motion blurred image, deblurred image by [35], deblurred image by proposed NCSR, and close-up views.

C. Image super-resolution

In image super-resolution, the low-resolution (LR) image is obtained by first blurring the high-resolution (HR) image with a blur kernel and then downsampling by a scaling factor. Hence, recovering the HR image from a single LR image is more severely underdetermined than image deblurring. In this subsection, we test the proposed NCSR based IR for image super-resolution. The simulated LR image is generated by first blurring an HR image with a 7×7 Gaussian kernel with standard deviation 1.6, and then downsampling the blurred image by a scaling factor 3 in both horizontal and vertical directions. The additive Gaussian noises of standard deviation 5 are also added to the LR images, making the IR problem more challenging. Since human visual system is more sensitive to luminance changes, we only apply the IR methods to the luminance component and use the simple bicubic interpolator for the chromatic components.

We compare the proposed NCSR approach with three recently developed image super-resolution methods, including the TV-based method [26], the sparse representation based method [23], and the ASDS-Reg method $[21]^2$. Since the sparsity-based method in [23] cannot perform the resolution upscaling

² We thank the authors of [18], [21], [23], [34-37], [40-42] for providing their source codes or experimental results. The code associated with this work will be available online.

and deblurring simultaneously, as suggested by the authors [23] we apply the iterative back-projection [38] to the output of method [23] to remove the blur.

The PSNR results of the test methods on a set of 9 natural images are reported in Table 4, from which we can conclude that the proposed NCSR approach significantly outperforms the TV [26] and sparsity-based methods [23]. In average the NCSR approach also outperforms the state-of-the-art ASDS-Reg method [21] by up to 0.25 dB and 0.32 dB for the noiseless and noisy cases, respectively. This demonstrates the superiority of the NCSR method for image inverse problems. The subjective comparison between the NCSR and other methods are shown in Figs. 9~11. We can see that the TV-based method [26] tends to generate piecewise constant structures; the image edges reconstructed by the sparsity-based method [23] contain some visible artifacts. Obviously, the NCSR approach reconstruct the best visually pleasant HR images. The reconstructed edges are much sharper than all the other three competing methods, and more image fine structures are recovered.



Figure 9: Image super-resolution performance comparison on *Plant* image (scaling factor 3, σ_n =0). From left to right and top to bottom: original image, LR image, the reconstructed images by TV [26] (PSNR=31.34dB; FSIM=0.8909), sparsity-based [23] (PSNR=31.55dB; FSIM=0.8964), ASDS-Reg [21] (PSNR=33.44dB; FSIM=0.9242), and the proposed NCSR (PSNR= **34.00**dB; FSIM= **0.9369**).



Figure 10: Image super-resolution performance comparison on *Monarch* image (scaling factor 3, σ_n =0). From left to right and top to bottom: original image, LR image, the reconstructed images by TV [26] (PSNR=26.57dB; FSIM=0.8970), sparsity-based [23] (PSNR=24.70dB; FSIM=0.7995), ASDS-Reg [21] (PSNR=27.28dB; FSIM=0.8789) and the proposed NCSR (PSNR= **28.10** dB; FSIM=**0.9031**).



Figure 11: Image super-resolution performance comparison on *Parrot* image (scaling factor 3, σ_n =5). From left to right and top to bottom: original image, LR image, the reconstructed images by TV [26] (PSNR=27.01dB; FSIM=0.8562), sparsity-based [23] (PSNR=27.15dB; FSIM=0.8632), ASDS-Reg [21] (PSNR=29.01dB; FSIM=0.9182), and the proposed NCSR (PSNR= **29.51**dB; FSIM= **0.9210**).

	Noiseless												
Images	Butterfly	flower	Girl	Pathenon	Parrot	Raccoon	Bike	Hat	Plants	Average			
	26.56	27.51	31.24	26.00	27.85	27.54	23.66	29.20	31.34	27.88			
I V [20]	0.8970	0.8295	0.8598	0.7701	0.9081	0.7950	0.8032	0.8623	0.8909	0.8462			
Sparaity [22]	24.70	27.87	32.87	26.27	28.70	28.51	23.23	29.63	31.55	28.15			
Sparsity [25]	0.7995	0.8611	0.8989	0.8018	0.9233	0.8490	0.8138	0.8705	0.8964	0.8571			
ASDS-Reg	27.28	29.33	33.50	27.00	30.45	29.25	24.67	30.99	33.44	29.55			
[21]	0.8789	0.8960	0.9117	0.8235	0.9421	0.8750	0.8566	0.9018	0.9242	0.8900			
NCSR	28.10	29.50	33.65	27.19	30.50	29.28	24.74	31.27	34.00	29.80			
	0.9031	0.9004	0.9210	0.8367	0.9452	0.8796	0.8606	0.9093	0.9369	0.8992			
	Noisy												
TV [26]	25.49	26.57	29.86	25.35	27.01	26.74	23.11	28.13	29.70	26.88			
	0.8707	0.8147	0.8342	0.7706	0.8562	0.7888	0.7890	0.8340	0.8561	0.8238			
Sparaity [22]	23.61	26.60	30.71	25.40	27.15	27.22	22.45	28.31	29.57	26.78			
Sparsity [25]	0.7764	0.8208	0.8685	0.7814	0.8632	0.8243	0.7807	0.8237	0.8524	0.8213			
ASDS-Reg	26.06	27.83	31.87	26.22	29.01	28.01	23.62	29.61	31.18	28.16			
[21]	0.8530	0.8601	0.8731	0.7904	0.9182	0.8202	0.8200	0.8630	0.8837	0.8535			
NCSR	26.86	28.08	32.03	26.38	29.51	28.03	23.80	29.94	31.73	28.48			
	0.8860	0.8633	0.8741	0.7969	0.9210	0.8113	0.8241	0.8700	0.8955	0.8602			

Table 4: PSNR (dB) and FSIM results (luminance components) of the reconstructed HR images.

V. Conclusion

In this paper we presented a novel nonlocally centralized sparse representation (NCSR) model for image restoration. The sparse coding noise (SCN), which is defined as the difference between the sparse code of the degraded image and the sparse code of the unknown original image, should be minimized to improve the performance of sparsity-based image restoration. To this end, we proposed a centralized sparse constraint, which exploits the image nonlocal redundancy, to reduce the SCN. The Bayesian interpretation of the NCSR model was provided and this endows the NCSR model an iteratively reweighted implementation. An efficient iterative shrinkage function was presented for solving the l_1 -regularized NCSR minimization problem. Experimental results on image denoising, deblurring and super-resolution demonstrated that the NCSR approach can achieve highly competitive performance to other leading denoising methods, and outperform much other leading image deblurring and super-resolution methods.

References

[1] E. Candès and T. Tao, "Near optimal signal recovery from random projections: Universal encoding strategies?" *IEEE Trans. on Information Theory*, vol. 52, no. 12, pp. 5406 - 5425, December 2006.

- [2] D. Donoho, "Compressed sensing," *IEEE Trans. on Information Theory*, vol. 52, no. 4, pp. 1289-1306, April 2006.
- [3] E. Candès, J. Romberg, and T. Tao, "Robust uncertainty principles: Exact signal reconstruction from highly incomplete frequency information," *IEEE Trans. on Information Theory*, vol. 52, no. 2, pp. 489 -509, February 2006.
- [4] M. Bertero and P. Boccacci, Introduction to Inverse Problems in Imaging. Bristol, U.K.: IOP, 1998.
- [5] L. Rudin, S. Osher, and E. Fatemi, "Nonlinear total variation based noise removal algorithms," *Phys. D*, vol. 60, pp. 259–268, 1992.
- [6] T. Chan, S. Esedoglu, F. Park, and A. Yip, "Recent developments in total variation image restoration," in *Mathematical Models of Computer Vision*, N. Paragios, Y. Chen, and O. Faugeras, Eds. New York: Springer Verlag, 2005.
- [7] J. Oliveira, J. M. Bioucas-Dias, and M. Figueiredo, "Adaptive total variation image deblurring: a majorization-minimization approach," *Signal Processing*, vol. 89, no. 9, pp. 1683-1693, Sep. 2009.
- [8] A. N. Tikhonov, "Solution of incorrectly formulated problems and regularization method," *In Soviet Math. Dokl.*, vol. 4, pp. 1035-1038, 1963.
- [9] I. Daubechies, M. Defriese, and C. DeMol, "An iterative thresholding algorithm for linear inverse problems with a sparsity constraint," *Commun. Pure Appl. Math.*, vol.57, pp.1413-1457, 2004.
- [10] P. Combettes, and V. Wajs, "Signal recovery by proximal forward-backward splitting," *SIAM J.Multiscale Model.Simul.*, vol.4, pp.1168-1200, 2005.
- [11] M. Zibulevsky and M. Elad, "*l*₁-*l*₂ optimization in signal and image processing," *IEEE Signal Processing Magazine*, vol. 27, no. 3, pp. 76-88, May, 2010.
- [12] J. A. Tropp and S. J. Wright, "Computational methods for sparse solution of linear inverse problems," *Proceedings of IEEE, Special Issue on Applications of Compressive Sensing & Sparse Representation*, vol. 98, no. 6, pp. 948-958, June, 2010.
- [13] J. M. Bioucas-Dias, and M.A.T. Figueiredo. "A new TwIST: two-step iterative shrinkage/thresholding algorithms for image restoration," *IEEE Trans. Image Proc.*, vol.16, no.12, pp.2992-3004, 2007.
- [14] A. M. Bruckstein, D. L. Donoho, and M. Elad, "From sparse solutions of systems of equations to sparse modeling of signals and images," *SIAM Review*, vol. 51, no. 1, pp. 34-81, Feb. 2009.
- [15] M. Elad, M.A.T. Figueiredo, and Y. Ma, "On the Role of Sparse and Redundant Representations in Image Processing," *Proceedings of IEEE, Special Issue on Applications of Compressive Sensing & Sparse Representation*, June 2010.
- [16] J. Mairal, M. Elad, and G. Sapiro, "Sparse Representation for Color Image Restoration," *IEEE Trans.* on Image Processing, vol. 17, no. 1, pages 53-69, Jan. 2008.
- [17] K. Dabov, A. Foi, V. Katkovnik, and K. Egiazarian, "Image denoising by sparse 3-D transform-domain collaborative filtering," *IEEE Trans. Image Process.*, vol. 16, no. 8, pp. 2080-2095, Aug. 2007.
- [18] J. Mairal, F. Bach, J. Ponce, G. Sapiro and A. Zisserman, "Non-Local Sparse Models for Image Restoration," in *Proc. IEEE International Conference on Computer Vision*, Tokyo, Japan, 2009.
- [19] M. Elad and M. Aharon, "Image denoising via sparse and redundant representations over learned dictionaries," *IEEE Trans. Image Process.*, vol. 15, no. 12, pp. 3736-3745, Dec. 2006.
- [20] K. Dabov, A. Foi, V. Katkovnik, and K. Egiazarian, "Image restoration by sparse 3D transform-domain collaborative filtering," in *Society of Photo-Optical Instrumentation Engineers (SPIE) Conference Series*, vol. 6812, 2008.
- [21] W. Dong, L. Zhang, G. Shi, and X. Wu, "Image deblurring and super-resolution by adaptive sparse domain selection and adaptive regularization," *IEEE Trans. On Image Processing*, vol. 20, no. 7, pp. 1838-1857, July 2011.
- [22] W. Dong, L. Zhang and G. Shi, "Centralized sparse representation for image restoration," in *Proc. IEEE Int. Conf. on Computer Vision (ICCV)*, 2011.
- [23] Jianchao Yang, John Wright, Thomas Huang and Yi Ma, "Image Super-Resolution via Sparse Representation", *IEEE Trans. Image Process.*, vol. 19, no. 11, pp. 2861-2873, Nov. 2010.
- [24] S. Chen, D. Donoho, and M. Saunders, "Atomic decompositions by basis pursuit," *SIAM Review*, vol. 43, pp. 129-159, 2001.
- [25] X. Zhang, M. Burger, X. Bresson, and S. Osher, "Bregmanized nonlocal regularization for deconvolution and sparse reconstruction," *SIAM J. Imaging Sci.*, vol. 3, no. 3, pp. 253-276, 2010.
- [26] A. Marquina, and S. J. Osher, "Image super-resolution by TV-regularization and Bregman iteration," J. Sci. Comput., vol. 37, pp. 367-382, 2008.

- [27] M. Aharon, M. Elad, and A. Bruckstein, "K-SVD: an algorithm for designing overcomplete dictionaries for sparse representation," *IEEE Trans. Signal Process.*, vol. 54, no. 11, pp. 4311-4322, Nov. 2006.
- [28] R. Rubinstein, A.M. Bruckstein, and M. Elad, "Dictionaries for sparse representation modeling," *Proceedings of IEEE, Special Issue on Applications of Compressive Sensing & Sparse Representation*, vol. 98, no. 6, pp. 1045-1057, June, 2010.
- [29] L. Sendur and I. W. Selesnick, "Bivariate shrinkage functions for wavelet-based denoising exploiting interscale dependency," *IEEE Trans. Signal Process.*, vol. 50, no. 11, pp. 2744-2756, Nov. 2002.
- [30] I. Ramirez and G. Sapiro, "Universal regularizers for robust sparse coding and modeling," *arXiv*: 1003.2941.
- [31] A. Buades, B. Coll, and J. M. Morel, "A review of image denoising algorithms, with a new one," *Multisc. Model. Simulat.*, vol. 4, no. 2, pp. 490.530, 2005.
- [32] D. Glasner, S. Bagon, and M. Irani, "Super-resolution from a single image," *IEEE International Conference on Computer Vision*, Tokyo, Japan, 2009.
- [33] L. Zhang, L. Zhang, X. Mou, and D. Zhang, "FSIM: A Feature Similarity Index for Image Quality Assessment," *IEEE Trans. on Image Process.*, vol. 20, no. 8, pp. 2378-2386, Aug. 2011.
- [34] D. Zoran and Y. Weiss, "From learning models of natural image patches to whole image restoration," in *Proc. IEEE Int. Conf. on Computer Vision (ICCV)*, 2011.
- [35] R. Fergus, B. Singh, A. Hertzmann, S. T. Roweis, and W. T. Freeman, "Removing camera shake from a single image," *ACM Trans. Graph. (SIGGRAPH)*, pages 787-794, 2006.
- [36] A. Beck and M. Teboulle, "Fast gradient-based algorithms for constrained total variation image denoising and deblurring problems," *IEEE Trans. On Image Process.*, vol. 18, no. 11, pp. 2419-2434, Nov. 2009.
- [37] J. Portilla, "Image restoration through *l*₀ analysis-based sparse optimization in tight frames," in *Proc. IEEE Int. conf. Image Process.*, pp. 3909-3912, Nov. 2009.
- [38] M. Irani and S. Peleg, "Motion Analysis for Image Enhancement: Resolution, Occlusion, and Transparency," *Journal of Visual Communication and Image Representation*, vol. 4, no. 4, pp. 324-335, Dec. 1993.
- [39] E. Candès, M. B. Wakin, and S. P. Boyd, "Enhancing sparsity by reweighted *l*₁ minimization," *Journal of Fourier Analysis and Applications*, vol. 14, pp. 877-905, 2008.
- [40] V. Katkovnik, A. Foi, K. Egiazarian, and J. Astola, "From local kernel to nonlocal multiple-model image denoising," *Int. J. Computer Vision*, vol. 86, no. 1, pp. 1-32, Jan. 2010.
- [41] K. Dabov, A. Foi, V. Katkovnik, and K. Egiazarian, "BM3D image denoising with shape-adaptive principal component analysis," *Proc. Workshop on Signal Processing with Adaptive Sparse Structured Representation* (SPARS'09), Saint-Malo, Fance, Apr. 2009.
- [42] A. Danielyan, V. Katkovnik, and K. Egiazarian, "BM3D frames and variational image deblurring," *IEEE Trans. On Image Processing*, vol. 21, no. 4, Apr. 2012.
- [43] M. Elad and I. Yavneh, "A plurality of sparse representation is better than the sparsest one alone," *IEEE Trans. Information Theory*, vol. 55, no. 10, pp. 4701-4714, Oct. 2009.